(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 127048-1

Total Pages in this Submission 39

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

| Alexandria, VA 22313-1450 | | | | | | | |
|--|-------------|--|--|--|--|--|--|
| Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an | PTO | | | | | | |
| nvention entitled: METHOD AND APPARATUS FOR TURBOMACHINE ACTIVE CLEARANCE CONTROL | 364 | | | | | | |
| | U (675) | | | | | | |
| | 141 | | | | | | |
| and invented by: | -22 | | | | | | |
| Norman Arnold Turnquist, Hamid Reza Sarshar, Biao Fang, Mehmet Demiroglu, Bernard Arthur Couture, Clement Gazzillo, and Edip Sevincer | | | | | | | |
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| If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information: | | | | | | | |
| ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: | | | | | | | |
| Which is a: Continuation Divisional Continuation-in-part (CIP) of prior application No.: | | | | | | | |
| Which is a: | | | | | | | |
| ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: | | | | | | | |
| Enclosed are: | | | | | | | |
| Application Elements | | | | | | | |
| 1. 🗵 Filing fee as calculated and transmitted as described below | | | | | | | |
| | | | | | | | |
| 2. Specification having pages and including the following: | | | | | | | |
| a. 🗵 Descriptive Title of the Invention | | | | | | | |
| b. Cross References to Related Applications (if applicable) | | | | | | | |
| c. Statement Regarding Federally-sponsored Research/Development (if applicable) | | | | | | | |
| d. Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix | | | | | | | |
| e. 🗵 Background of the Invention | | | | | | | |
| f. 🗵 Brief Summary of the Invention | | | | | | | |
| g. 🗵 Brief Description of the Drawings (if filed) | | | | | | | |
| h. 🗵 Detailed Description | | | | | | | |
| i. ⊠ Claim(s) as Classified Below | | | | | | | |
| j. 🛛 Abstract of the Disclosure | | | | | | | |
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| | Application Elements (Continued) | | | | | | | | | |
|-----|---|--|--|--|--|--|--|--|--|--|
| 3. | ☑ Drawing(s) (when necessary as prescribed by 35 USC 113) | | | | | | | | | |
| | a. | ➤ Formal Number of Sheets10 | | | | | | | | |
| | b. | ☐ Informal Number of Sheets | | | | | | | | |
| 4. | X | Oath or Declaration | | | | | | | | |
| | a. | ☑ Newly executed (original or copy) ☐ Unexecuted | | | | | | | | |
| | b. | Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only) | | | | | | | | |
| | C. | ☑ With Power of Attorney ☐ Without Power of Attorney | | | | | | | | |
| | d. | DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). | | | | | | | | |
| 5. | | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | | | | | |
| 6. | | CD ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | | | | | | | |
| 7. | | Application Data Sheet (See 37 CFR 1.76) | | | | | | | | |
| 8. | | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included) | | | | | | | | |
| | a. | ☐ Computer Readable Form (CRF) | | | | | | | | |
| | b. | ☐ Specification Sequence Listing on: | | | | | | | | |
| | | i. CD-ROM or CD-R (2 copies); or | | | | | | | | |
| | | ii. 🔲 Paper | | | | | | | | |
| | C. | ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy | | | | | | | | |
| | | Accompanying Application Parts | | | | | | | | |
| 9. | | Assignment Papers (cover sheet & document(s)) | | | | | | | | |
| 10. | | 37 CFR 3.73(B) Statement (when there is an assignee) | | | | | | | | |
| 11. | |] English Translation Document (if applicable) | | | | | | | | |
| 12. | \boxtimes | Information Disclosure Statement/PTO-1449 🔲 Copies of IDS Citations | | | | | | | | |
| 13. | | Preliminary Amendment | | | | | | | | |
| 14. | \boxtimes | Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | | | | | |
| 15. | | Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | | | | | |
| 16. | \boxtimes | | | | | | | | | |
| | | ☐ First Class ☒ Express Mail (Specify Label No.): EV299528666US1 | | | | | | | | |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Total Pages in this Submission 39

| | Accompanying Application Parts (Continued) | | | | | | |
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| 17. | | Additional Enclosures (please identify below): | | | | | |
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| | | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2) | | | | | |
| 18. | | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application. | | | | | |
| | | Warning | | | | | |
| | | An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional. | | | | | |
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Fee Calculation and Transmittal

| | | CLAIMS A | AS FILED | | | |
|---|---|-----------------------------------|-------------------------|--------------------------------|---|------------|
| For | #Filed | #Allowed | #Extra | | Rate | Fee |
| Total Claims | 25 | - 20 = | 5 | х | \$18.00 | \$90.00 |
| Indep. Claims | 6 | - 3 = | 3 | х | \$84.00 | \$252.00 |
| Multiple Dependent C | laims (check if | applicable) [| | | | \$0.00 |
| | | | | | BASIC FEE | \$750.00 |
| OTHER FEE (specify | purpose) | | | | | \$0.00 |
| | | | | | TOTAL FILING FEE | \$1,092.00 |
| ☑ Credit any☑ Charge an☐ Charge the | overpayment. y additional filing e issue fee set in o 37 C.F.R. 1.31 | g fees required on 37 C.F.R. 1.18 | at the mailin Mi Re Ca | chael A g. No. 4 | Notice of Allowance, Signature Blake 2,333 Iburn LLP | |
| cc: | | | 55 Blo 86 | Griffin omfield 1-286-29 | Road South I, CT 06002 | |

Page 4 of 4 P01ULRG/REV06

| CERTIFICATE OF | MAILING BY "EXPRESS I | MAIL" (37 CFR 1.10) | Docket No. | | | | |
|--|---|--|---------------------------|--|--|--|--|
| Applicant(s): NORMAN | ARNOLD TURNQUIST ET AL. | | 127048-1 | | | | |
| Serial No. | Filing Date | Examiner | Group Art Unit | | | | |
| NYA | 09/30/2003 | NYA | NYA | | | | |
| Invention: METHOD A | ND APPARATUS FOR TURBON | MACHINE ACTIVE CLEARANCE | CONTROL | | | | |
| | e following correspondence: on Transmittal, Application, Dra | wings, Unexecuted Declaration wit | h Power of Attorney, IDS, | | | | |
| | (Identify type | of correspondence) | | | | | |
| is being deposited with | | e "Express Mail Post Office to Ad | drossoo" sandes under 37 | | | | |
| | | | | | | | |
| CFR 1.10 in an envelo | pe addressed to: Commissioner | for Patents, P.O. Box 1450, Alexa | andria, VA 22313-1450 on | | | | |
| | September 30, 2003 | | | | | | |
| | (Date) | | | | | | |
| | | Nancy Mather | • | | | | |
| | - | (Typed or Printed Name of Person Mail: | | | | | |
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